

# Effect of Rare Earth Element Yttrium on the Isothermal Oxidation Behavior of Aluminide Coatings on Ti-Ni Shape Memory Alloys

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**Abstract:** Ti-Ni shape memory alloys with and without Y addition were aluminized at 650 °C using a pack-cementation method. The influences of various amounts of Y additions on the microstructure and the isothermal oxidation behaviors of aluminide coatings in air at 700 °C were investigated. The results show that aluminide coatings exhibit double layer structure, and the aluminization process is mainly controlled by the inner diffusion of Al. The Y addition promotes the growth of outer  $TiAl_3$  layer, but it retards the growth of inner  $NiAl_3$  layer when Y content is lower than 1at%. Isothermal oxidation results indicate that the scale growth rate is significantly reduced when the Y addition is 0.5at%. In contrast, the oxidation rate of Ti-Ni alloy is increased when 1at% Y and 5at% Y are added, especially 5at% Y addition. The effect of Y element on the coating formation and the coating oxidation behavior was discussed.

**Key words:** shape memory alloy; aluminide; isothermal oxidation; active element effect; rare earth Y

Near equal-atomic Ti-Ni (Ni-45wt%Ti) shape memory alloys (SMAs) are technologically important materials because of their outstanding shape memory effect (SME) and superelasticity<sup>[1]</sup>. Up to now the application of Ti-Ni SMAs has been spread to aerospace, aviation and other fields involving the exposure to high temperatures. Thus, oxidation is an unavoidable problem when Ti-Ni SMAs are exposed to air for a long period. The oxidation causes significant changes in the microstructure of the shape memory alloy, e.g., destabilization of martensite phase, and as a result, the shape memory effect of the alloy diminishes<sup>[2]</sup>. At the same time, shape memory alloys need to be heat-treated for rearrangement of the crystal structure, and the process of the heat treatment will also cause oxidation<sup>[2-6]</sup>. In previous works, the authors<sup>[7]</sup> found that the addition of Y not only enhanced the martensitic transformation temperature of Ti-Ni alloys, but also

improved their oxidation resistance, which was referred to as “reactive element effect” (REE)<sup>[8]</sup>. Various theories have been put forward to elucidate the REE but still are in dispute<sup>[9]</sup>. In order to improve the oxidation resistance of Ti-Ni alloy, different surface treatments were proposed. Aluminization at low temperature by pack cementation can increase the high temperature oxidation resistance<sup>[10-13]</sup>. However, there is no report about the oxidation of low temperature aluminide coatings on Ti-Ni alloys with or without Y addition. The aim of this research is to study the effect of Y addition on the isothermal oxidation behavior of low temperature aluminide coatings formed on Ti-Ni alloys, which will be of benefit to their application.

## 1 Experiment

Samples with dimension of 15 mm×10 mm×2 mm were cut from  $Ti_{50}Ni_{50}$ ,  $Ti_{49.75}Ni_{49.75}Y_{0.5}$ ,  $Ti_{49.5}Ni_{49.5}Y_1$ , and  $Ti_{47.5}Ni_{47.5}Y_5$  alloys ingots, which were prepared by a

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non-consumable arc-melting furnace under an argon atmosphere using a water-cooled copper crucible. The details of alloy production process were reported elsewhere<sup>[7]</sup>. The samples were ground to a final 800# SiC paper. After ultrasonically cleaning in acetone, they were aluminized using a conventional pack cementation in a homogeneous mixture of 75wt%Al+20wt% Al<sub>2</sub>O<sub>3</sub>+5wt% NH<sub>4</sub>Cl in a pure Ar atmosphere at 650 °C for 6 h. Afterwards, the samples were brushed, cleaned in bubbling distilled water for 30 min and then ultrasonically cleaned in acetone to remove loosely embedded pack particles. For the convenience of narration, the aluminide coatings formed on Ti<sub>50</sub>Ni<sub>50</sub>, Ti<sub>49.75</sub>Ni<sub>49.75</sub>Y<sub>0.5</sub>, Ti<sub>49.5</sub>Ni<sub>49.5</sub>Y<sub>1</sub>, and Ti<sub>47.5</sub>Ni<sub>47.5</sub>Y<sub>5</sub> (at%) were denoted as Y0, Y0.5, Y1 and Y5, respectively.

The oxidation experiments were carried out in air at 700 °C for up to 50 h and the mass measurements were conducted after fixed time intervals using a balance with 0.01 mg sensitivity. The compositions and phases of the various aluminide coatings before and after oxidation were investigated using Camscan MX2600FE type scanning electron microscopy (SEM) with an energy dispersive spectroscopy (EDS) and D/Max-2500 pc type X-ray diffraction (XRD). Electroless Ni-plating was coated on the surface of the oxidized specimens to prevent the spallation of the scales during the process of specimen preparing for observing the morphologies of the cross-sections.

## 2 Results and Discussion

### 2.1 Microstructure

Previous results<sup>[7]</sup> indicated that the addition of Y refined the grains of the Ti-Ni alloy. This is because of the formed Y-enriched NiY particles, which not only promoted the nucleation of new crystals during casting, but also suppressed the growth of Ti-Ni grains during heat-treatment. Moreover, the fraction of NiY particles increases with the increase of Y content.

The aluminide coatings were prepared by pack cementation at 650 °C for 6 h. The average concentration of Al element in the surface zone (<4 μm: the profile depth of electron beam) is close to 61.2wt% for three aluminide coatings on a basis of EDS area analysis. Fig.1 shows the XRD patterns of Ti-Ni-Y alloys. It can be seen from Fig.1 that all aluminide coatings only contain two intermetallic phases: TiAl<sub>3</sub> and NiAl<sub>3</sub> phase. According to Ti-Ni binary phase diagram<sup>[14]</sup>, the solubility of Ti in Ni is 21.4wt%, but the solubility of Ni in Ti is 38wt%. Thus, both TiAl<sub>3</sub> and NiAl<sub>3</sub> phases in the present paper should be Ti(Ni)Al<sub>3</sub> and Ni(Ti)Al<sub>3</sub> solid solutions, respectively.

Fig.2 shows the corresponding cross-sectional morphologies and elemental profiles of various aluminide coatings developed on Ti-Ni alloys with and without Y addition. Clearly, a double layer (termed as “I” and “II”) with different thicknesses is formed on all aluminide

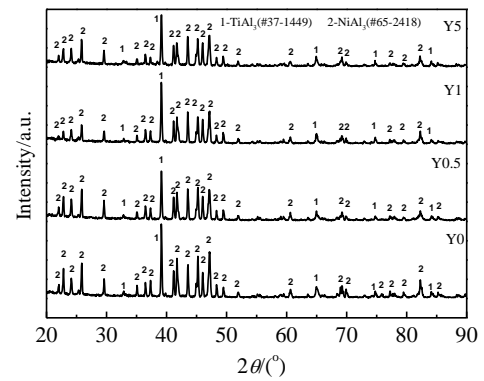


Fig.1 XRD patterns of Ti-Ni-Y alloys after aluminized

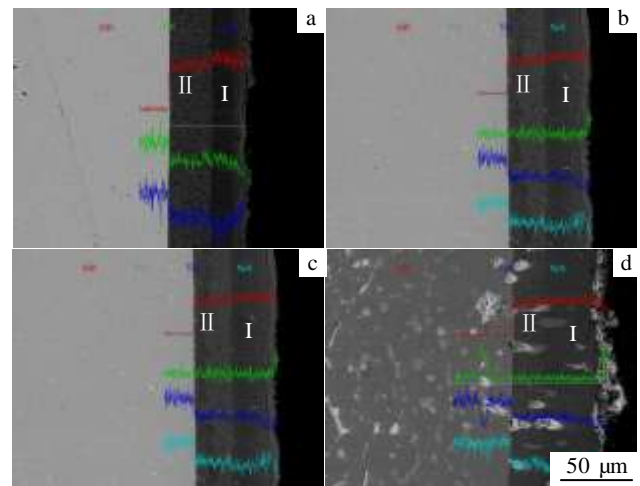


Fig.2 Cross-sectional images and elemental depth profiles of various samples: (a) Y0, (b) Y0.5, (c) Y1, and (d) Y5

coatings, as shown in Fig.2 and listed in Table 1. Element profiles results indicate that the outer layer has higher Al content than the inner layer. Based on the results of XRD patterns and Fig.2, it can be concluded that the phase in region “I” is mainly TiAl<sub>3</sub> phase while that in region “II” is mainly NiAl<sub>3</sub> phase. It is noteworthy that the bright phase in the aluminide coatings is composed of the dispersed Y-rich particles, which are from the original Y-rich NiY particles in Ti-Ni alloy<sup>[7]</sup>. In Table 1, it is clearly shown that the thickness of outer TiAl<sub>3</sub> layer increases with the increment of Y content, but the thickness of inner NiAl<sub>3</sub> layer decreases with the increment of Y content when Y content is below 1at%. In other words, the highest thickness ratio of outer TiAl<sub>3</sub> layer to inner NiAl<sub>3</sub> layer occurs on sample Y1.

Fig.2 shows that the aluminide coatings have double-layered microstructure: the outer TiAl<sub>3</sub> layer and the inner NiAl<sub>3</sub> layers. The distribution of Y-rich NiY particles,

**Table 1 Thickness of aluminide coating, interdiffusion zone and outdiffusion zone after oxidation**

Sample	Y0	Y0.5	Y1	Y5	
Aluminide coating	I	28 μm	39 μm	53.3 μm	45.6 μm
	II	37 μm	30.5 μm	24.7 μm	26 μm
	I + II	65 μm	69.5 μm	78 μm	71.6 μm
	I / II	0.76	1.28	2.16	1.75
Interdiffusion zone	V (Ti rich)	2 μm	1.5 μm	1.5 μm	
	IV (Al rich)	6.5 μm	4.5 μm	4.5 μm	
VI (outer diffusion zone)	18 μm	12 μm	22 μm		

which can be regarded as inert marks, further indicates that the aluminization progress is mainly controlled by inward diffusion of Al. The aluminization progress can be addressed as below. At high temperature, the inner diffusion of Al causes the formation of the surface TiAl<sub>3</sub> phase within Ni solution. Due to the lower solubility of Ni in TiAl<sub>3</sub> phase than that in origin Ti-Ni alloy, Ni in surface TiAl<sub>3</sub> layer will diffuse inwardly to form a Ni-rich layer. When Al diffuses into the Ni-rich layer, NiAl<sub>3</sub> inner layer with Ti solution is formed. With the increase of reaction time, the thicknesses of both layers increase. However, from Table 1, it is clear that the addition of Y accelerates the growth of outer TiAl<sub>3</sub> layer, but retards the growth of inner NiAl<sub>3</sub> layer when the content of Y is below 1at%. The higher amount of Y-rich particles close to Ti-Ni base/NiAl<sub>3</sub> interface than that in other places suggests that Y-rich particles also move inward. In longer time, a Y-rich layer diffusion barrier will be formed. Zhou et al<sup>[12]</sup> and Tan et al<sup>[15]</sup> observed the same phenomenon during the aluminization of Ni-CeO<sub>2</sub> composite. Tan et al<sup>[15]</sup> proposed that it was intrinsically correlated with the interdiffusion-induced interface movement of the aluminide/Ni toward the base alloy. The formation of Y-rich layer would retard the interdiffusion, and then influence the aluminization kinetics. In this case, sample Y1 exhibits the thickest TiAl<sub>3</sub> layer and highest thickness ratio of TiAl<sub>3</sub>/NiAl<sub>3</sub>. At the same time, the dispersion of Y-rich particles retards the grain growth of the aluminide coating, which leads to a finer-grain structure<sup>[10-13]</sup>.

**2.2 Oxidation**

Fig.3 shows the isothermal oxidation curves of Y0, Y0.5 and Y1 alloys. It can be concluded that no spallation occurs in Y0, Y0.5 and Y1 alloys according to Fig.3a. In contrast, a significant mass loss occurs for Y5 when the oxidation time is 5 h, due to severe spallation, which can also be seen by naked eye. Thus, its oxidation kinetics is not plotted in Fig.3. Clearly, Y0 and Y0.5 alloys both obey a parabolic rate law to a good approximation for the whole duration of the test. However, for Y1 alloy, two regimes parabolic law

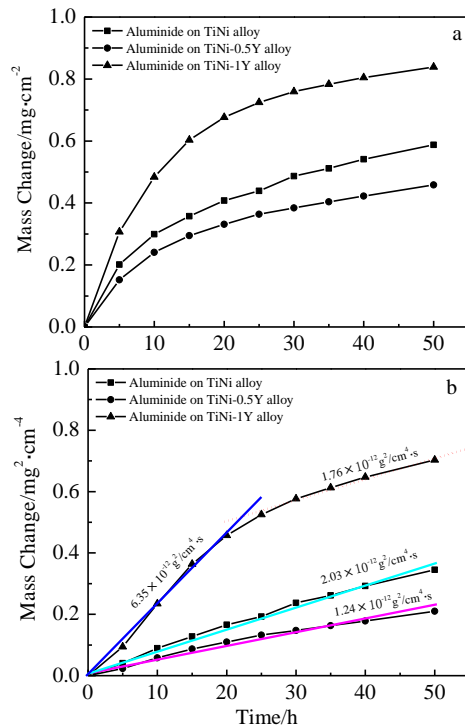


Fig.3 Isothermal oxidation curves of various samples in air at 700 °C for 50 h

occurs. The calculated parabolic oxidation rate constants are also given in Fig.3b. Comparing Y0 alloy and Y0.5 alloy with Y1 alloy, the formers exhibit lower oxidation kinetics, but the latter exhibits higher oxidation rate. This result suggests that the addition of minor amount of rare earth Y improves the oxidation resistance, but the addition of higher amount of Y element decreases the oxidation resistance.

Y0, Y0.5 and Y1 alloys with 50 h oxidation at 700 °C in air were characterized using XRD and the results are presented in Fig.4. The results indicate that all samples have grown a protective scale of alumina in α and θ Al<sub>2</sub>O<sub>3</sub> forms besides minor rutile being detected in Y0 alloy. From Fig.4, it can be also found that the phases of three aluminide coatings after oxidation are almost Ni<sub>2</sub>Al<sub>3</sub> with minor TiAl<sub>3</sub>. However, Y0 and Y0.5 alloys exhibit higher peak intensity of TiAl<sub>3</sub> phase, especially the later. This suggests that the addition of 0.5 at% Y significantly delay the degradation of aluminide coatings, but the addition of 1at% Y accelerate the degradation.

To clarify the difference in the oxidation performances of various samples, surface and cross-sectional morphologies of the scales formed were investigated.

Fig.5 reveals the SEM top-views of the scales formed on different samples after 50 h exposure in air at 700 °C, showing a similar whisker/blade and round-shape crystals except a small amount of Ti-rich fibriform on the surface of

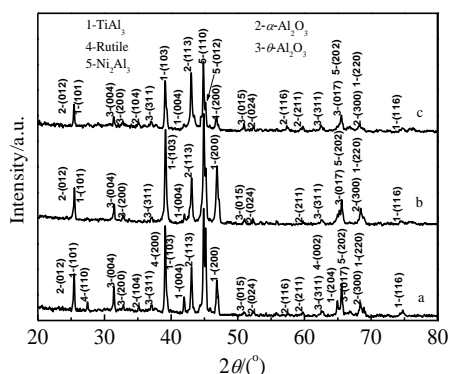


Fig.4 XRD patterns of various samples after isothermal oxidation at 700 °C for 50 h: (a) Y0, (b) Y0.5, and (c) Y1

Y0 alloy. Based on the XRD and previous results<sup>[10-13]</sup>, the whisker/blade-like crystal is  $\theta$ -Al<sub>2</sub>O<sub>3</sub>, the round crystal is  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> but the Ti-rich fiber-shaped is rutile phase. Compared with Y0.5 alloy, the growth of  $\theta$ -Al<sub>2</sub>O<sub>3</sub> phase on Y0 and Y1 alloys is significantly inhibited, especially Y1 alloy. This can be seen clearly in Fig.5. Both the grain size and volumetric fraction of whisker/blade-like  $\theta$ -Al<sub>2</sub>O<sub>3</sub> are reduced. This implies that the faster  $\theta$ - $\alpha$  phase transformation occurs on Y0 and Y1 alloys than that on Y0.5 alloy.

Fig.6 shows the corresponding cross-sections of the oxide scales. It can be found that thicker alumina scales are formed on Y0 and Y1 alloys, as seen in Fig.6a and Fig.6c. However,

for Y0.5 alloy, thinner scales can be observed, as seen in Fig.6b. From the inset in Fig.6c, it can be found that some oxide nodules penetrate into the aluminide coating along the bright Y-rich particles, suggesting that the inner diffusion of oxygen along the bright Y rich particles occurs.

From the XRD results presented in Fig.1 and Fig.4, it can be seen that the degradation of TiAl<sub>3</sub>+NiAl<sub>3</sub> to Ni<sub>2</sub>Al<sub>3</sub> with minor TiAl<sub>3</sub> occurs, due to Al consumption by oxidation and also by interdiffusion. In order to understand the degradation, elemental profiles of samples after 50 h oxidation in air were conducted using EDS. The result is presented in Fig.7. Clearly, the interdiffusion causes the formation of a 6~8.5  $\mu$ m-thick interdiffusion zone, as shown in the inserted photo in Fig.6a and Fig.6b. Furthermore, it can be divided into “IV” and “V” regions with different thickness, as listed in Table 1. Region “IV” is formed due to the penetration of Ti from the Ti-Ni into the aluminide coatings, whereas region “V” is formed as a result of diffusion of Al from the aluminide coating into the Ti-Ni substrate. Moreover, the outward diffusion of Al due to oxidation also causes the formation of a 12~22  $\mu$ m-thick regions, as termed in Fig.6 as “VI”. From Table 1, it can be found that the thickness of interdiffusion zone reduces with the increase of Y content, suggesting the addition of Y retards the interdiffusion. However, the thickness of “VI” region decreases first and then increases with the increase of Y content, suggesting the degradation due to oxidation more heavily occurs on Y0 and Y1 alloys than that on Y0.5 alloy, which is consistent with the oxidation kinetics curves in Fig.3.

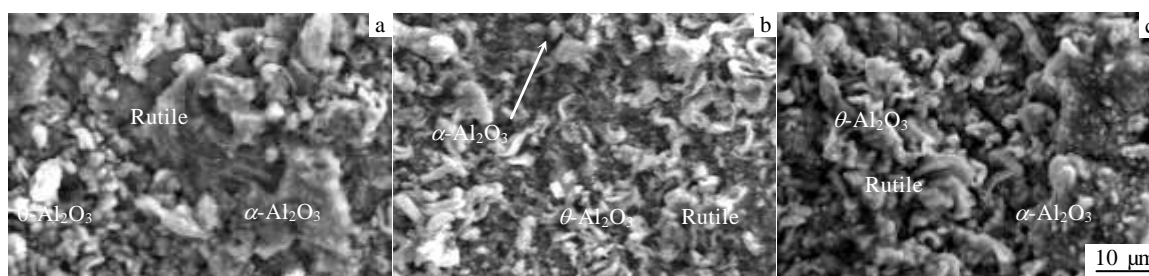


Fig.5 Surface morphologies of the oxides scales formed on different aluminide coatings: (a) Y0, (b) Y0.5, and (c) Y1

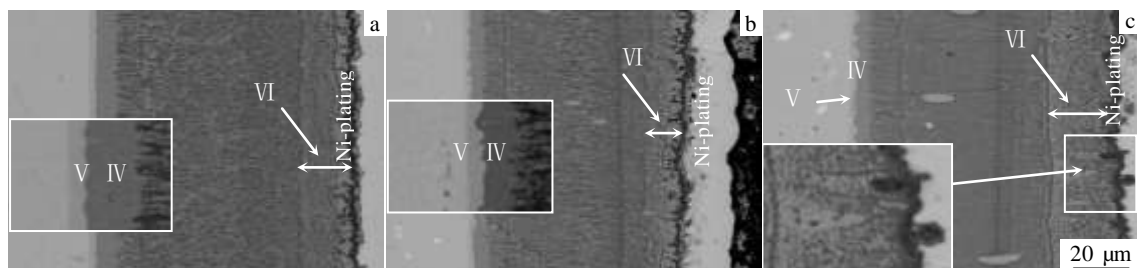


Fig.6 Cross-sectional morphologies of the oxides scales formed on different aluminide coatings after 50 h oxidation at 700 °C in air: (a) Y0, (b) Y0.5, and (c) Y1

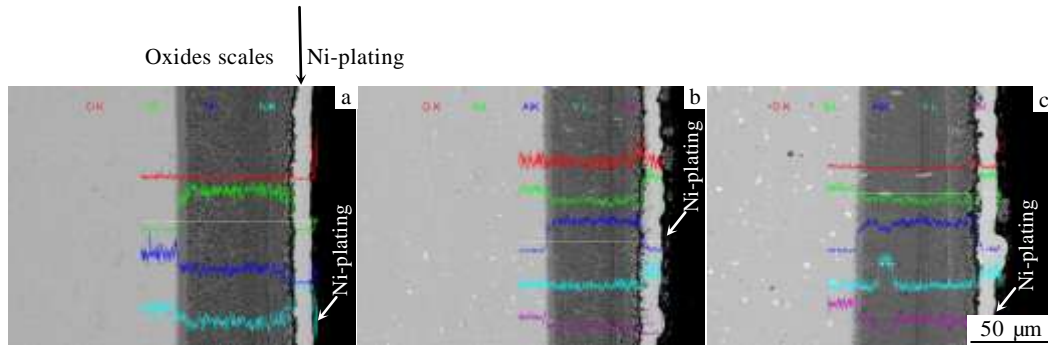


Fig.7 Cross-sectional SEM images and elemental depth profiles of different aluminide coatings after 50 h oxidation at 700 °C in air: (a) Y0, (b) Y0.5, and (c) Y1

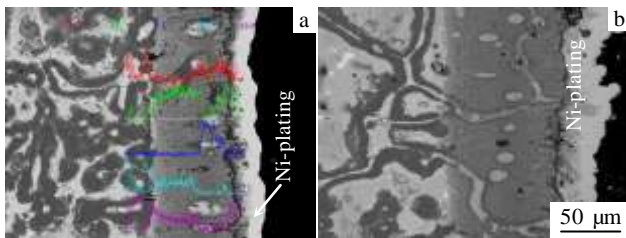


Fig.8 Cross-sectional SEM images and elemental depth profiles of aluminide coating formed on Y5 after 50 h oxidation at 700 °C in air: (a) a mixture of net-like  $\text{TiO}_2+\gamma\text{-Ni}$  and (b) oxidation deepening into Ti-Ni substrate

Fig.6c indicates that the oxidation along the NiY particles occurs due to inner diffusion of oxygen. With higher Y addition, the oxidation of NiY particles should deepen across the aluminide coating into the Ti-Ni substrate. To confirm this assumption, the cross section and element profile of Y5 alloy after oxidized at 700 °C for 50 h was analyzed, as shown in Fig.8b. Clearly, the oxidation along NiY particles may deepen across the aluminide coatings into Ti-Ni substrate. In this case, the oxidation of Ti-Ni substrate occurs. Because Ti is easily oxidized while Ni is more difficult to be oxidized<sup>[16]</sup>, a mixture of netlike  $\text{TiO}_2$  and  $\gamma\text{-Ni}$  with Ti solution formed in the Ti-Ni substrate, as shown in Fig.8a.

For the aluminide coatings, the oxidation rate is controlled by the air-coating interface reaction in the initial oxidation stage and the initial oxides are formed which have a great effect on the initial oxidation rate. It is well known that  $\alpha\text{-Al}_2\text{O}_3$  is a protective oxide with a slow growth rate, high stability and compact structure with few flaw, while  $\theta\text{-Al}_2\text{O}_3$  is a transient metastable oxide with a faster growth rate and more flaws. Previous results<sup>[17,18]</sup> also indicated that  $\theta$ -alumina appeared in the outer part of the scale and  $\alpha$ -alumina in the area close to the scale/metal interface. As further oxidized,  $\theta\text{-Al}_2\text{O}_3$  would gradually

convert into slow-growing  $\alpha\text{-Al}_2\text{O}_3$ . Therefore, the  $\theta\text{-Al}_2\text{O}_3 \rightarrow \alpha\text{-Al}_2\text{O}_3$  transformation rate is one of the most important variables to influence the oxidation rate.

Many researches<sup>[11, 19-22]</sup> exhibited that different ions or its oxides had different effects on the  $\theta\text{-}\alpha$  transformation, and then influenced the oxidation rate. Burtin et al<sup>[19]</sup> and Fei et al.<sup>[22]</sup> found that  $\text{TiO}_2$  had the same effect in accelerating  $\theta\text{-}\alpha$  transformation as a dopant does. From Fig.4 and Fig.5, it can be found that some local oxide  $\text{TiO}_2$  is formed on the surface of Y0, which not only increases the oxidation rate, but also significantly accelerates the  $\theta\text{-}\alpha$  phase transformation. Due to large quantity of  $\theta$ -alumina being formed and subsequently transformed into  $\alpha\text{-Al}_2\text{O}_3$  quickly in the initial oxidation stage<sup>[23]</sup>, a much higher oxidation rate occurs. Moreover, the  $\theta\text{-}\alpha$  transformation causes an approximately 13.4% volume contraction. If the phase transformation is completed quickly, the tensile stress induced by the transient volume contraction may be large enough to give rise to micro-tears in the growing scale. Such micro-tears will lead to the fast growth of new oxides along the cracking surface, consequently increase the oxidation rate.

For Y0.5 alloy, due to the slow transformation from  $\theta$  to  $\alpha$ -alumina, the mass increase almost keeps a stable growth rate during oxidation. That is why Y0.5 alloy exhibits lower oxidation rate than Y0 alloy.

For Y1 alloy, the addition of Y has a great effect on the formation of stable  $\alpha\text{-Al}_2\text{O}_3$  and its oxidation rate, as addressed below. Firstly, the formation of  $\text{Y}_2\text{O}_3$  oxides along Y-rich particles increases the initial oxidation rate. Secondly, because  $\text{Y}_2\text{O}_3$  is an oxygen-deficient oxide, when the surface of the coating is covered with a high concentration of  $\text{Y}_2\text{O}_3$ , the oxygen transport through or around these particles might be accelerated<sup>[24]</sup>. Thirdly, due to large quantity of  $\theta$ -alumina is formed and subsequently transformed into  $\alpha\text{-Al}_2\text{O}_3$  quickly in the initial oxidation stage<sup>[23]</sup>, a much higher oxidation rate is occurs, as addressed above. That is why a high oxidation rate observed in the first 20 h. After 20 h, the formed  $\text{Y}_2\text{O}_3$

particles on the surface, having the same crystallographic structure as  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, provide an epitaxial template for this formation of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, thereby accelerating its formation. After the formation of a continuous protective  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> scale, a lower oxidation rate can be observed, as shown in Fig.3.

For Y5 alloy, the oxidation of NiY particles deepens across the aluminide coating into the Ti-Ni substrate. In this case, the oxidation of Ti-Ni substrate occurs and a netlike TiO<sub>2</sub> is formed in the Ti-Ni substrate. The formation of netlike TiO<sub>2</sub> causes significant spallation of aluminide coatings.

The higher oxidation rate causes the faster degradation of the aluminide coatings (Fig.4). However, for Y5 alloy, due to higher content of Y, the formation of Y<sub>2</sub>O<sub>3</sub> along NiY particles deepens across the aluminide coatings into Ti-Ni substrate(Fig.8). In this case, the oxidation of Ti-Ni substrate occurs and heavy spallation appears.

### 3 Conclusions

1) The aluminide coatings formed on Ti-Ni alloys with and without Y addition show a double layer structure: the outer TiAl<sub>3</sub> layer and inner NiAl<sub>3</sub> layer. And the aluminization progress is mainly controlled by inner diffusion of Al.

2) The addition of Y promotes the growth of outer TiAl<sub>3</sub> layer, but retards the growth of inner NiAl<sub>3</sub> layer when Y content is below 1at%.

3) The addition of 0.5at% Y significantly reduces the oxidation scale growth rate, but the addition of 1at% Y and 5at% Y significantly increase the oxidation rate.

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## 稀土元素 Y 对 TiNi 形状记忆合金基体渗铝涂层恒温氧化行为的影响

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**摘要:** 采用粉末法, 在不同Y含量的TiNi形状记忆合金基体上制备了650 °C渗铝涂层, 并对Y影响涂层的形成以及涂层在700 °C恒温氧化性能进行了研究。结果表明: 渗铝涂层由外层TiAl<sub>3</sub>和内层NiAl<sub>3</sub>构成, 涂层的生长主要由Al的内扩散控制。当Y含量低于1at%时, 稀土元素Y的添加促进TiAl<sub>3</sub>外层的生长, 抑制NiAl<sub>3</sub>内层的生长。恒温氧化实验表明: 添加0.5at% Y能明显降低渗铝涂层的氧化速度, 但添加1 at% Y和5 at% Y却加速涂层的氧化。并对Y影响涂层的形成以及恒温氧化性能进行了分析。

**关键词:** TiNi 形状记忆合金; 铝化物; 恒温氧化; 活性元素效应; 稀土 Y

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